

The University of New Mexico
Board of Regents' Audit and Compliance Committee
August 18, 2015 – 9:00 AM
Roberts Room
Agenda

ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from June 29, 2015
3. Audit Committee Meeting Calendar for Fiscal Year 2016. The following proposed meeting dates are being presented for Committee approval. The Committee meets at 9:00 AM in the Roberts Room. This schedule will accommodate the exit conference for the FY15 financial statements audit and entrance conference for FY16 External Audit.

November 5, 2015 (Exit Conference FY15 External Audit)

February 18, 2016

April 21, 2016 (Entrance Conference FY16 External Audit)

INFORMATION ITEMS

4. Advisors' Comments
5. Follow-Up Items from June 29, 2015 Meeting
6. Main Campus Chief Compliance Officer Status Report (*Helen Gonzales, UNM Main Campus Chief Compliance Officer*)
7. Health Sciences Center Chief Compliance Officer Status Report (*Stuart Freedman, HSC Chief Compliance Officer*)
8. Status of Audit Recommendations (*Chien-chih Yeh, Internal Audit Manager*)
Implemented
Pending
9. Director of Internal Audit Status Report (*Manu Patel, Internal Audit Director*) and Working Lunch

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EXECUTIVE SESSION

10. Vote to close the meeting and to proceed in Executive Session as follows:

- a. Discussion of Final Internal Audit Reports, pursuant to limited personnel matters exception at Section 10-15-1.H(2) NMSA (1978), exception for matters subject to attorney-client privilege pertaining to threatened or pending litigation at Section 10-15-1.H(7), NMSA (1978).
- b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
- c. Schedule of Audits in Process, pursuant to exceptions at Sections 10-15-1H(2 and 7), NMSA (1978);
- d. Proposed FY16 Audit Workplan exceptions at Sections 10-15-H(2 and 7), NMSA (1978); and
- e. Vote to re-open the meeting.

11. Certification that only those matters described in Agenda item # 10 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session

12. Adjournment